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**FAX TRANSMITTAL COVER SHEET**

TO : OIPE

COMPANY : United States Patent and Trademark Office

FROM : Lawrence M. Nawrocki

RE : Applicant : George E. Anderson  
Serial No. : 09/978,426

DATE : March 26, 2002

FAX NUMBER : (703) 746-4060 (OIPE)

NO. OF PAGES : 20

ENCLOSURES : Request for Substitute Papers (executed); Photocopies of: Checks and postcard dated 02/19/02, Transmittal Sheet, Petition for Extension of Time, Notice to File Missing Parts of Nonprovisional Application, Response, Combined Declaration/Power of Attorney for Patent Application, Five (5) Sheets of Substitute Drawings, all originally submitted on 02/19/02

**COMMENTS :****CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this paper and the documents described herein are being facsimile transmitted to the United States Patent and Trademark Office

Carolyn I. Erickson

Type or print name of person signing certification

March 26, 2002

Signature

Date

PLEASE CALL Carolyn AT (612) 331-1464 IF ALL PAGES ARE NOT RECEIVED.

Received from <612 331 2239> at 3/26/02 4:16:14 PM [Eastern Standard Time]



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

MAR 2 6 2002

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/978,426	10/15/2001	Anderson, George	14023/120/101

NAWROCKI, ROONEY & SIVERTSON, P.A.  
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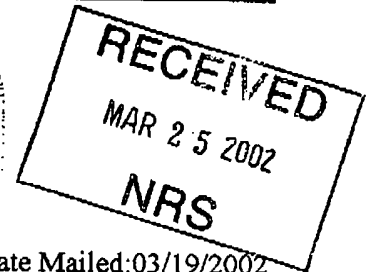
DOCKETED

MAR 2 5 2002

Lynda Eberhard

Title: Apparatus and method for removing solvent from particulate

Date Mailed: 03/19/2002



## Request for Substitute Papers

The papers filed on 02/27/02 (certificate of mailing dated 02/19/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Lawrence M. Nawrocki Registration No.: 29,333,426

Signature: Lawrence M. Nawrocki Date: March 26, 2002

[illegible][illegible]

This will hereby acknowledge receipt of the following documents by the U.S. Patent and Trademark Office:

Response, Notice to File Missing Parts of Nonprovisional Application, Combined Declaration/Power of Attorney, Five (5) Sheets of Drawings, Petition for Extension of Time, Checks for \$65.00 and \$55.00, Transmittal and Postcard  
with respect to the following:

Applicant : George E. Anderson et al.  
Serial No : 09/978,426  
Filed : October 15, 2001  
For : APPARATUS AND METHOD FOR REMOVING SOLVENT  
FROM PARTICULATE

File No. 14023/120/101  
Date: February 19, 2002  
Via First Class Mail

Received from < 612 331 2239 > at 3/26/02 4:16:14 PM [Eastern Standard Time]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

George E. Anderson et al.

Serial No.: 09/978,426

Examiner: N/A

Filing Date: October 15, 2001

Group Art Unit: 1746

For: APPARATUS AND METHOD FOR REMOVING SOLVENT FROM PARTICULATE

Docket No.: 14023/120/101

**TRANSMITTAL SHEET**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

<p><b>CERTIFICATE UNDER 37 C.F.R. 1.8:</b> I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this <u>19th</u> day of <u>February</u>, 2002.</p> <p>By: <u>James E. Namitz</u> James E. Namitz</p>
---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

We are transmitting herewith the attached:

☐ Amendment☐ No additional fee required☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x9=	\$	x18=	\$
INDEPENDENT CLAIMS	-	=		x40=	\$	x80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+260=	\$
TOTAL				\$		\$	

[XXXX] Checks in the amounts of \$ 55.00 and \$ 65.00 are enclosed.

[XXXX] Applicant claims small entity status under 37 CFR § 1.27.

[XXXX] Other: Response, Notice to File Missing Parts of  
Nonprovisional Application, Combined Declaration/Power of  
Attorney for Patent Application, Five (5) Sheets of  
Drawings, Petition for Extension of Time and Postcard.

[XXXX] Please charge any deficiencies or credit any over payment  
in the enclosed fees to Deposit Account 14-0620.

By:

Lawrence M. Nawrocki

Lawrence M. Nawrocki

Reg. No. 29,333

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,426	10/15/2001	George E. Anderson	14023/120/101

CONFIRMATION NO. 5003

## FORMALITIES LETTER



\*OC000000007079990\*

NAWROCKI, ROONEY & SIVERTSON, P.A.  
Suite 401, Broadway Place East  
3433 Broadway Street N.E.  
Minneapolis, MN 55413

MAR 26 2002

Date Mailed: 11/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

03/26/02 TUE 15:08 FAX 612 331 2239

NRS

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Page 2 of 2

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

George E. Anderson et al.

COPY OF PAPERS  
ORIGINALLY FILED  
02/27/02

MAR 26 2002

Serial No. : 09/978,426

Examiner: N/A

Filed : October 15, 2001

Group Art Unit: 1746

For : APPARATUS AND METHOD FOR REMOVING  
SOLVENT FROM PARTICULATE

Docket No. : 14023/120/101

RESPONSEAssistant Commissioner  
for Patents  
Washington, D.C. 20231CERTIFICATE UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an enveloped addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on this 19<sup>th</sup> day of February, 2002.

By: Laurie E. Nemitz  
Laurie E. Nemitz

Sir:

Applicants filed the application covered by the caption indicated above on October 15, 2001. The signatures of the inventors were, however, missing on the DECLARATION. In response to the filing, the United States Patent and Trademark Office issued a NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED document (FORM PTO-1533). That paper documented the fact that the



filing date was granted for the application. Further, however, it documented a requirement that a properly signed Declaration in compliance with 37 CFR §1.63, identifying the application by Application Number and Filing Date, be submitted. That paper also required that a surcharge in the amount of \$65, for a small entity, be submitted.

It was further noted that the application did not comply with the regulations because the drawing sheets did not have the appropriate margins. Substitute drawings in compliance with 37 CFR § 1.84 were required. In response to the document issued by the United States Patent and Trademark Office, Applicant hereby takes action to comply with the requirements imposed. Please find transmitted herewith substitute drawings in compliance with 37 CFR § 1.84 having the appropriate margins.

Please find enclosed the "RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED document, a Declaration signed by the named inventors, and a check in the amount of \$65.00 (the amount of the surcharge for a small entity), five (5) sheets of drawings, a Petition for Extension of Time and a check in the amount of \$55.00 for a one month extension.

In view of the action taken herein, Applicant would submit that the requirements imposed by the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED paper have been complied with. It is, therefore, requested that the application be passed for

issuance of the formal FILING RECEIPT document.

Please charge any deficiencies or credit any over payment to  
Deposit Account 14-0620.

Respectfully submitted,

George E. Anderson et al.

By their attorney

Date

February 19, 2002

Lawrence M. Nawrocki

Lawrence M. Nawrocki

Reg. No. 29,333

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